

U.S. Department of
Homeland Security

United States
Coast Guard



Commandant
United States Coast Guard

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1754
September 20, 2007

Mr. John M. Gannon
Executive Director
Financial Industry Regulatory Authority (FINRA)
Investor Education Foundation
1735 K Street, NW
Washington, DC 20006

Dear Mr. Gannon:

This responds to Mr. Francis Dong's email of August 2, 2007 which requested we update our records to reflect your organization's new name, FINRA Investor Education Foundation. Your organization was previously approved under your old name, the National Association of Securities Dealers Investor Education Foundation, on March 16, 2006.

It is with pleasure that we designate FINRA Investor Education Foundation as a qualified organization to provide Coast Guard commands, staffs and family members with educational materials, and to make related presentations. This approval will continue indefinitely provided your organization continues to meet the requirements contained in COMDTINST 1740.3. A copy of the instruction is enclosed.

Thank you for your continuing support and interest in the well-being of U.S. Coast Guard members and their families.

My point of contact for this matter, Mr. John Reibling, can be reached at (202) 267-6173.

Sincerely,

A handwritten signature in black ink, appearing to read "R. L. Skewes".

R. L. Skewes
Chief, Office of Work-Life
U.S. Coast Guard

Enclosure: COMDTINST 1740.3 of Nov 30, 2004